



16579 B
CEB:lp
24 Jul 07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant : Liangiu WU :

Serial No. : 10/592,911 :

Filed : September 15, 2006 :

For : FIRE-RATED RECESSED DOWNLIGHT :

Art Unit : 3635 :

Examiner : Unknown :
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Mail Stop Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

07/26/2007 AAHHADI 00000045 10592911

01 FC:1006

100.00 OP

Dear Sir:

COMMUNICATION

With regard to the above referenced United States Utility Patent Application, an Examination Report issued in a United Kingdom National Phase based on a corresponding PCT Application.

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below:

Charles E. Baxley
Attorney's Name

Charles E. Baxley
Signature

July 24, 2007
Date

New reference documents were cited in the UK National Phase. Enclosed herewith is an updated Information Disclosure Statement thereon, together with the and copies of the additional documents.

Enclosed herewith is a check in the amount of One Hundred Eighty Dollars (\$180.00) to cover the Information Disclosure Statement Fee.

Courtesy, cooperation and skill of the Patent Examining Operation are acknowledged and appreciated.

Respectfully,


CHARLES E. BAXLEY
Attorney of Record
USPTO Reg 20,149
90 John Street, Suite 309
New York, NY 10038
Tel: (212) 791-7200
Fax: (212) 791-7276
E-Mail: ceb@hartbaxley.com

Dated: New York, New York
July 24, 2007

Enclosures: Information Disclosure Statement by Applicant;
Two (2) citations; and
Check in the amount of \$180.00



**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------|
| Application Number | 10592911 |
| Filing Date | 2006-09-15 |
| First Named Inventor | Liangju WU |
| Art Unit | 3635 |
| Examiner Name | Unknown |
| Attorney Docket Number | 16579 B |

U.S. PATENTS

| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
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U.S. PATENT APPLICATION PUBLICATIONS

| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
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FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Cite No | Foreign Document Number ³ | Country Code ² j | Kind Code ⁴ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T ⁵ |
|-------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
| | 1 | GB 2326467 | GB | | 1998-12-23 | Environmental Seals Limited | | <input type="checkbox"/> |
| | 2 | WO 98/12472 | WO | | 1998-03-26 | JONES, Peter, Charles | | <input type="checkbox"/> |

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NON-PATENT LITERATURE DOCUMENTS

| | | | |
|---|----------------------|------------|------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 10592911 |
| | Filing Date | | 2006-09-15 |
| | First Named Inventor | Liangju WU | |
| | Art Unit | 3635 | |
| | Examiner Name | Unknown | |
| Attorney Docket Number | | 16579 B | |

| | | | |
|--------------------|---------|---|--------------------------|
| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T5 |
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EXAMINER SIGNATURE

| | | | |
|--------------------|--------------------|-----------------|------------|
| Examiner Signature | /James Cranson Jr/ | Date Considered | 08/04/2008 |
|--------------------|--------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

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| Application Number | 10592911 |
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| Examiner Name | Unknown |
| Attorney Docket Number | 16579 B |

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☒ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| | | | |
|------------|----------------------------|---------------------|------------|
| Signature | <i>Charles E. Baxley</i> | Date (YYYY-MM-DD) | 2007-07-24 |
| Name/Print | Charles E. Baxley, Esquire | Registration Number | 20,149 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

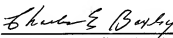
Applicant : Liangiu WU
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For : FIRE-RATED RECESSED DOWNLIGHT
Art Unit : 3635
Examiner : Unknown

Transmitted herewith is/are the following:

- x COMMUNICATION w/MAILING CERTIFICATE;
- x Information Disclosure Statement by Applicant w/Two (2) citations attached;
- x Check in the amount of \$180.00;
- x Other Acknowledgment Postcard
-
- x The Commissioner is authorized to charge any additional fees required, or to credit any overpayment to Account No. 02-1435.. A duplicate copy of this sheet is enclosed.
- x A check in the amount of \$ 180.00 is enclosed herewith.
- x I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 24, 2007.

Respectfully,

Dated: July 24, 2007
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